

11/07/01

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Approved for use through 10/31/2002. OMB 0651-0032

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54 U.S. P.O.

UTILITY
PATENT APPLICATION
TRANSMITTAL

Attorney Docket No.:	4048-00100
First Inventor or Application Identifier	Kechuan Liu, et al.
Title	Method and Device for Cleaning Optical Connect rs
Express Mail Label No.	EL 921453301 US

Only for new nonprovisional applications under 37 CFR 1.53(b)

I. APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g. PTO/SB17)
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See (Submit an original, and a duplicate for fee processing)
3. ☒ Specification [Total Pages]: 20
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claims(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) Total Sheets: 2
5. ☒ Oath or Declaration Total Pages: 2
a. ☒ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
Named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C. FR 3.73(b) Statement [] Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449
☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

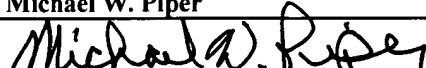
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Prior application information: Examiner: _____ Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19 CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label  or ☒ Correspondence address below

NAME	Jessica Smith				
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Name (Print Type)	Michael W. Piper		Registration No. (Attorney/Agent)	39,800	
Signature			Date: November 7, 2001		

**FEE TRANSMITTAL
FY 2002**

Patent fees are subject to annual revision.

Complete if Known

Application Number	*
Filing Date	
First Named Inventor	Kechuan Liu, et al.
Group Art Unit	*
Examiner Name	*

TOTAL AMOUNT OF PAYMENT **(\$1,050.00)**Attorney Docket Number **4048-00100****METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:
 Deposit Account Number: 50-1515
 Deposit Account Name: Conley, Rose & Tayon, P.C.
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:
☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	\$740.00
106 330	206 165	Design filing fee	\$
107 510	207 255	Plant filing fee	\$
108 740	208 370	Reissue filing fee	\$
114 160	214 80	Provisional filing fee	\$

SUBTOTAL (1) \$740.00**2. EXTRA CLAIM FEES**

	Total Claims	Extra Claims	Fee from below	Fee Paid
Total Claims	35	- 20** = 15	x 18.00	= \$270.00
Independent Claims	2	3** = 0	x 84.00	= \$
Multiple Dependent			280.00	= \$00.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent Claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$270.00

** or number previously paid, if greater; For Reissues, see above

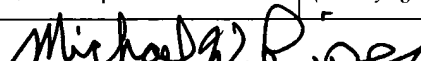
FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	\$
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	\$
139 130	139 130	Non-English specification	\$
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	\$
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	\$
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	\$
115 110	215 55	Extension for reply within first month	\$
116 400	216 200	Extension for reply within second month	\$
117 920	217 460	Extension for reply within third month	\$
118 1,440	218 720	Extension for reply within fourth month	\$
128 1,960	228 980	Extension for reply within fifth month	\$
119 320	219 160	Notice of Appeal	\$
120 320	220 160	Filing a brief in support of an appeal	\$
121 280	221 140	Request for oral hearing	\$
138 1,510	138 1,510	Petition to institute a public use proceeding	\$
140 110	240 55	Petition to revive - unavoidable	\$
141 1,280	241 640	Petition to revive - unintentional	\$
142 1,280	242 640	Utility issue fee (or reissue)	\$
143 460	243 230	Design issue fee	\$
144 620	244 310	Plant issue fee	\$
122 130	122 130	Petitions to the Commissioner	\$
123 50	123 50	Petitions related to provisional applications	\$
126 180	126 180	Submission of Information Disclosure Stmt	\$
581 40	581 40	Recording each patent assignment per property (times number of properties)	\$40.00
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	\$
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	\$
179 740	279 370	Request for Continued Examination (RCE)	\$
169 900	169 900	Request for expedited examination of a design application	\$

Other fee (specify) \$

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$40.00**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)	Michael W. Piper	Registration No. (Attorney/Agent)	39,800	Telephone	(972) 731-2288
Signature				Date	11/7/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**REQUEST AND CERTIFICATION
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor :	Kechuan Liu, et al.
Title:	Method and Device for Cleaning Optical Connectors
Attorney Docket Number	4048-00100
Express Mail Label No.	EL 921453301 US

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

11/7/2001

Date

Michael W. Piper

Signature

Michael W. Piper

Registration Number 39,800

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

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